



PRUDENT ADVISORY

普頓諮詢

A History

Headquartered in Hong Kong, specializing in the Asia-Pacific region, with clients in multiple financial regulatory jurisdictions. Provides continuous compliance services to global fintech companies, family offices, SFC licenced corp and full licence Banks.

B Feature

Our senior management average has had over 15+ year compliance experience across banks and fintech companies, they provided professional lectures and training for regulatory bodies such as the HKMA, SFC

C Licence

Is a fully licenced Hong Kong based provider of Trust or Company services provider(TCSP) , with holding an employment agency licence.

INTRODUCE PRUDENT

SELECT CLIENTS AND PARTNERS





服务项目

Business Service

✓ **Handling Financial Licence application / renewal/ inspections, including but not limited to :**

- Stored Value Facility (SVF) / Banking Licence
- Money Service Operator Licence
- Trust Companies
- Trust or Company Service Provider licence (TCSP)
- Insurance Broker Company Licence
- Registration of Dealers in Precious Metals and Stones (DPMS)
- Money Lending licence
- SFC Licences and uplifting

✓ **Arranging Suitable Key personnel**

- Local Director
- Responsible Officer
- Compliance Officer
- MLRO

✓ **Compliance Retainer Services**

✓ **Independent Assessment**

✓ **Industry Partner Introduction**

✓ **Compliance / AML Training**

✓ **Remediation Projects**

✓ **Company Secretarial Services**

✓ **Set up Hong Kong Company**

✓ **Set up Offshore Company**

✓ **Local / Offshore Bank or EMI Account Opening**

✓ **Compliance Ongoing and Ad Hoc Project Support**

主要服务

- ✓ 合规持续支援以及特别项目支持
- ✓ 独立审查评估
- ✓ 行业合作伙伴介绍
- ✓ 合规/反洗钱培训
- ✓ 合规性矫正补救项目
- ✓ 公司秘书服务
- ✓ 公司设立注册服务
- ✓ 离岸公司设立
- ✓ 本地/离岸银行或EMI开户
- ✓ 为客户安排合适的重要人员：
 - 本地董事
 - 负责人员 (RO)
 - 合规主任 (CO) 洗钱报告主任 (MLRO)
- ✓ 处理金融牌照申请/续牌/审查，包括且不限于：
 - 储值支付工具牌照 (SVF)
 - 金钱服务经营者牌照 (MSO)
 - 信托公司注册
 - 信托及公司服务提供者牌照 (TCSP)
 - 保险经纪公司牌照
 - 贵金属和宝石交易商注册 (DPMS)
 - 放债人牌照
 - 证监会牌照
 - (1-9+), VATP, VA Dealing



THANK YOU

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